

Energize CT Health & Safety Revolving Loan Fund

Small Contractor & Minority Business Enterprise Compliance Form

In accordance with the Loan Agreement (“Agreement”) between the undersigned (“Owner”) and Inclusive Prosperity Capital (“IPC”) having Contract No. _____ and dated _____, 20____, any contractor awarded a contract for work on the project is subject to set-aside requirements for subcontractors as set forth in C.G.S. Section 4a-60g. A contractor is defined as the firm retained directly by the owner whereas a subcontractor is retained by the contractor to perform a specified portion of work.

C.G.S. Section 4a-60g provides, to the extent any such contractor retains subcontractors, that such contractor shall, on the basis of competitive bidding procedures, (A) award at least twenty-five percent (25%) of the total value of IPC’s financial assistance (the “set-aside amount”) to subcontractors who are small contractors and (B) award at least twenty-five percent (25%) of such set-aside amount to subcontractors who are minority business enterprises.

The definitions and qualifications of “small contractor” and “minority business enterprise” are contained in Section 8.14 of the Agreement. Subcontractors must be certified by the Connecticut Supplier Diversity Program.

Please provide verification of subcontractors status as small contractor or minority business enterprise. Verification certificates can be found at <https://biznet.ct.gov/SDSearch/SDSearch.aspx>.

If you have any questions on the set-aside requirements described above, please contact John D’Agostino at John.DAgostino@ctgreenbank.com

Please complete the attached worksheet and certify compliance with set-aside amount requirements below.

To the best of my knowledge, I certify that if the contractor is employing subcontractors, such subcontractors are in full compliance with the State of Connecticut set-aside requirements for small contractors and minority business enterprises as codified in C.G.S. Section 4a-60g and will remain in full compliance with such requirements for the duration of the work on the project.

Name of Owner: _____

Owner Signature: _____

Date: _____